

### Third International FCE Research Conference September 29, 2016 Heliomare - Wijk aan Zee The Netherlands

The third International FCE Research Conference endorsed by

Heliomare and Vroege Interventie







### WELCOME

3nd International FCE Research Conference September 29, 2016 – Wijk aan Zee – Netherlands.



We are pleased to welcome you to the 3nd International Functional Capacity Evaluation (FCE) Research Conference in Wijk aan Zee - September 28 (evening) to September 29, 2016. The 3nd International FCE Research Conference will serve as an international forum for research and knowledge implementation related to work assessment and FCEs, across all causes of work incapacity. Participants include leading international experts in the field – scientists, clinicians, and other users of FCE information.

The International FCE Research Conference is an informal, non-profit research symposia organized by Michiel Reneman and Doug Gross. The meetings provide an opportunity to gather with a small group of researchers, clinicians and other stakeholders with a special interest in work assessment and FCE to discover and discuss new research findings, novel assessment techniques and strategies, and other policy or related issues facing the field.

Following a successful conference in Groningen (The Netherlands – 2012), and Toronto (Canada – 2014), we will offer an exciting program at Wijk aan Zee, the Netherlands. We received a large response for abstracts featuring the most recent scientific developments in the FCE field, as well as thought provoking discussions and workshops with leading FCE researchers and clinicians from around the world.

We hope you will enjoy this international conference, meet your colleagues and make new connections.

Enjoy the conference and your stay in Wijk aan Zee.

Sincerely yours,

Douglas Gross, Professor in Physical Therapy, University of Alberta, Canada Michiel Reneman. Professor in Rehabilitation Medicine. University Medical Center Groningen, The Netherlands Michel Edelaar, Heliomare & Vroege Interventie

A special thank you to Rehabilitation Center Heliomare for their generous support in hosting this event.

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	Program 3rd International FCE Research Conference
28 September	Conference dinner, Wijk aan Zee
29 September	Conference session, Wijk aan Zee, Heliomare
08.00 AM	Registration
08.45 AM	Opening & Welcome
	Update since last conference – Doug Gross and Michiel Reneman
09.10 AM	Keynote A: Michiel Reneman and Jone Ansuategui Echeita, Spain / The
	Netherlands - Functional Capacity Evaluation in different societal contexts:
	Results of a multi-country study
10.00 AM	The predictive value of grip strength using dynamometry relative to work
	ability - Lisa Fitzpatrick, USA
10.15 AM	ACPOHE Functional Testing Toolkit - Catherine Albert, UK
10.30 AM	Break
11.00 AM	Functional Capacity Evaluation: Performance of Patients with Chronic
	Non-specific Low Back Pain Without Waddell Signs - Peter Oesch,
	Switzerland
11.15 AM	Comparison of two methods for interpreting lifting performance during
	Functional Capacity Evaluation - Peter Oesch, Switzerland
11.30 AM	Development and validation of a pain behaviour assessment in patients
	with chronic low back pain - Jan Kool, Switzerland
11.45 AM	Development of a modified version of the Spinal Function Sort (M – SFS):
	A mixed method approach - Maurizio Trippolini, Switzerland / USA
12.00 PM	Lunch + photo
01.00 PM	Keynote B: Jill Galper, USA. Practical Issues in FCE Administration and
	Interpretation: Lessons Learned From Thousands of Cases
01.45 PM	Development and reliability testing of a qualitative score for rating
	compensatory movements in upper limb prostnesis wearers during
02 00 DM	execution of 4 FCE-tests - Sletke Postema, The Netherlands
02.00 PM	Development of a functional capacity evaluation measurement for
	Postoma, The Netherlands
02 15 PM	Do Wearable Eitness Devices Correlate With Performance Based Tests of
02.13 F M	Work-Related Functional Canacity - Jesse Karoman & Douglas Gross
	Canada
02 30 PM	ICE as the conceptual framework for ECE Linking ECE tests to the ICE
02.001	Comprehensive Core Set of Vocational Rehabilitation - Marika Lassfolk.
	Finland
02.45 PM	Break
03.15 PM	Associations of lifted weight and self-rated return-to-wok prognosis and
	self-rated return-to-work prognosis - Mattias Bethge, Germany
03.30 PM	Sustainable return to work among construction workers on sick leave due
	to musculoskeletal disorders: what is the added value of action versus a
	question - Paul Kuijer, The Netherlands
03.45 PM	The predictive validity of a workplace-specific and strain-related short-form
	Functional Capacity Evaluation in patients with musculoskeletal disorders -
	David Bühne, Germany
04.00 PM	Upper Limb Isokinetic Strength Assessment Applicability in Work Injury
	Patients - Quim Chaler
04.15 PM	Discussion: Proposed Inclusion of Work Physiology in FCE Testing –
	Heart Rate Reserve Method - Theodore Becker & Whitney Ogle - USA.
04.35 PM	What's next? Doug Gross and Michiel Reneman
04.45 PM	Closing

#### Information 3rd International FCE Research Conference

#### **Conference Organizers**

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Conference venue and local Conference Organizers Conference secretariat Heliomare Drs. Michel Edelaar Rehabilitation center Heliomare Address : Relweg 51 | 1949 EC | Wijk aan Zee | The Netherlands Phone: +31 (0)88 – 920 8450 E-mail: m.edelaar@heliomare.nl

#### Scientific Committee

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Presenting authors	Title						
Key notes							
Michiel Reneman and Jone Ansuategui Echeita, Spain / The Netherlands	Functional Capacity Evaluation in different societal contexts: Results of a multicountry study	A					
Jill Galper, USA	Practical Issues in FCE Adminis <mark>tration</mark> and Interpretation: Lessons Learned From Thousa <mark>nds of Case</mark> s	В					
Scientific contributions							
Lisa Fitzpatrick, USA	The predictive value of grip strength using dynamometry relative to work ability	1					
Catherine Albert, UK	ACPOHE Functional Testing Toolkit	2					
Peter Oesch, Switzerland	Functional Capacity Evaluation: Performance of Patients with Chronic Non-specific Low Back Pain Without Waddell Signs	3					
Peter Oesch, Switzerland	Comparison of two methods for interpreting lifting performance during Functional Capacity Evaluation	4					
Jan Kool, Switzerland	Development and validation of a pain behavior assessment in patients with chronic low back pain	5					
Maurizio Trippolini, Switzerland / USA	Development of a modified version of the Spinal Function Sort (M – SFS): A mixed method approach	6					
Sietke Postema, The Netherlands	Development and reliability testing of a qualitative score for rating compensatory movements in upper limb prosthesis wearers during execution of 4 FCE-tests	7					
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Jesse Karpman & Douglas Gross, Canada	Do Wearable Fitness Devices Correlate With Performance-Based Tests of Work-Related Functional Capacity	9					
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The official language of the congress is English. Presentation: 15 minutes; 10 min presentation , 5 min discussion

#### Heliomare

Heliomare supports (potentially) disabled people. In this context, it employs approximately 1,600 staff and has about 40 locations throughout the province of Noord-Holland. The degree of support provided, depends on the requirements and the physical and mental capabilities of the client. A variety of services is offered, including medical rehabilitation, special needs education, vocational rehabilitation, independent living programmes and training, daily occupation and sports. These services can be provided either separately or as a combined package.

#### Heliomare rehabilitation

The rehabilitation centre offers specialised medical rehabilitation for children, youngsters and adults with a physical and/or multiple disability or a traumatic brain injury. Rehabilitation supports them in their pursuit of maximum independence. The rehabilitation centre (115 beds) provides clinical support for the province of Noord-Holland, with the exception of the regions of Amsterdam and Het Gooi. In addition,



Heliomare rehabilitation provides services to special client groups within a wider area, and an outpatient service for the regions of Midden- and Zuid-Kennemerland.

#### Heliomare vocational rehabilitation

The institute for vocational rehabilitation is responsible for developing comprehensive reintegration packages for individual clients with an employment disability. In this area, autonomy, respect, openness and clarity are of the utmost importance.

People with a high risk of developing a disability often have great difficulty in finding a job on the labour market. How can they ensure that they retain their current position, or go about finding a suitable new working environment? Within Heliomare vocational rehabilitation, these are fundamental questions.

In order to provide the answers, Heliomare vocational rehabilitation works closely with other agencies/ institutions including the Ministry for Social Affairs and Employment, and there is an increasing trend towards working directly with employers.

The area, for which Heliomare vocational rehabilitation provides cover, includes the provinces Noord-Holland, Flevoland and Zuid-Holland and Leiden. Moreover, Heliomare vocational rehabilitation offers its services, in the area of vocational education, job coaching, assessments and physical training, to other organizations experiencing problems with the coaching of clients.

#### Heliomare education

Heliomare offers special education to children with complicated learning difficulties (ZML), with physical disabilities (LG) and multiple disabilities (MG). In secundary education for, ZML, LG, MG and chronic ill students (LZ cluster 3).

All education activities are concentrated within Heliomare educqation

#### There are 4 locations

De Alk, school for special education and secundary special education (SO/VSO), located in Alkmaar
Heliomare education, school for SO/VSO, located in Wijk aan Zee



•De Ruimte, school voor SO located in Bergen •De Zevensprong, school voor SO/VSO, located in Beverwijk.

#### Ambulante support

Apart from the education at the locations above, 'Ambulante Begeleiding'offers support to students that follow regular education, including professional training (MBO). The region of this Heliomare education service is the province of Noord-Holland.

#### **Observation class**

This is a class in which children between 6 and 20 year old with traumatic history like encephalitis, accident or other problems, show divergent learning behaviour and achievements. Heliomare helps to put them back on 'education track' again.

#### Heliomare Living/housing

Heliomare living/housing provides several living arrangements to children, youngsters and adults with a physical and/or multiple disability, a brain injury or an autistic disability. The aim is learn to live on their own and how to participate in society.

The methods it uses are strongly orientated towards the individual. Heliomare has several housing locations in the region, such as a children's home, a housing for adult clients with brain injuries, a training centre for practical living skills, apartments with supported living, guesthouses and holiday homes.

#### Heliomare daily occupation

The purpose of the activity centres of Heliomare daily occupation is to help disabled people to use their time in a meaningful way. Obviously, the definition of 'meaningful' will be different for each individual and therefore, the requirements, wishes and capabilities of each client are of the greatest importance. There are 4 activity centres, and 6 so called work centres that provide services for the regions of Kennemerland, Zaanstreek/Waterland, Amstelland/De Meerlanden and Amsterdam/Diemen.

At the work centres clients produce for instance art, graphic products, pottery and wooden garden furniture.

#### Heliomare sports

The promotion of active participation in sports and the offer of active movement to clients is the central philosophy of Heliomare sports. It services the inpatients of the Rehabilitation centre as well as individual outpatients and groups in the province of Noord-Holland. Apart from that there is the so called Sport Medic Consult, a combination of sport and rehabilitation. It also offers services to Paralympic athletes.

#### Research and Development

Research and Development on all aspects of the services of Heliomare is a constant demand. The R&D department works together with several universities. Test results and new knowledge are not only offered to Heliomare itself but also to other stakeholders

#### Network

The different business units that constitute Heliomare form a network and a linking chain, which can provide answers to all of the client's



questions. There is a high degree of cooperation between the different units, especially when this is necessary for the client.

To create as much synergy as possible, the business units are striving to achieve even closer cooperation. In order to do this, treatment programmes are tailored to fit one another

and more knowledge and experience can be exchanged between the different business units. This exchange results in optimal cohesion between these units and allows the client to obtain an even better service. In the treatment of a child, for example, the cooperation between a rehabilitation specialist and the teachers will result in a better integration of the medical rehabilitation and the special education process. As part of this 'expanded cohesion', the client is aware that all the business units are operating under the wings of 'Heliomare'. This reassures and helps creating an atmosphere of peace and certainty.

#### Client centred

Today's clients are increasingly involved. They know what they want and are presented with more options than ever before. At Heliomare, the clients are of the utmost importance. Their needs are accommodated, by working within a question-driven environment, by ensuring that the business units cooperate, and by delivering the best possible quality. Considering the varied character of Heliomare's services, its target group is not easily reduced to one common denominator. Patient, parent, pupil, resident or participant, the precise identity of the client will differ depending on the unit. Yet the clients frequently demand that their questions receive a cohesive response, which may involve a number of departments. All of Heliomare's business units strive to develop a more question-driven approach to clients. Each unit of Heliomare has proven expertise in its own particular area. The enormous amount of knowledge and experience within the separate units is too valuable for internal utilization only.

Consequently, the units cooperate as much as possible. Thus the mutual strengths are used to greater effect. Heliomare is working hard to achieve synergy. Not just by working together internally, but also by cooperation with external agencies.

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#### **Abstracts 3rd International FCE Conference**

#### Title 1

#### Grip Strength as a Predictor of Work Ability: A Scoping Review

#### **Authors & affiliations**

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**Background:** Work-related musculoskeletal disorders are costing employers approximately 20 million dollars in direct medical costs, and these costs are continuing to increase at an unsustainable rate. Many measures are used to assess an injured worker's ability to return to work. One specific measure, the grip strength measure that is taken by hand-held dynamometer, is frequently used as a stand-alone measure or in conjunction with other physical measures such as a functional capacity evaluation for assessing work ability. To this date, a review of the literature has not been performed to assess the predictive value of grip strength relative to work ability. *Objective:* Research question: What is the predictive value of grip strength using dynamometry relative to work ability?

**Methods:** A scoping review was conducted to examine the evidence in 15 peer-reviewed research articles that addressed the relationship between grip strength and work ability, work performance, specific job tasks, and work environment.

**Results:** Limited evidence supports that grip strength loss is a predictor for time to return to work (17%) as a stand-alone measure. Grip strength was weakly to strongly associated with work ability; however, when multivariate analyses were performed other variables often proved to be better predictors of work ability, return to work, and time loss from work than was grip strength.

**Conclusion:** The findings of this study suggest that grip strength has a mild to strong positive relationship with work ability and work performance. However, the literature does not yet provide sufficient evidence on the extent to which grip strength predicts work performance or work ability. Additionally, the research that was performed in this area included variables of similar characteristics (i.e. grip strength and pinch strength). Therefore, when a regression analysis was performed, it appears that grip strength was not included as a significant variable for predicting return to work due to the colinearity between variables. Given that there is paucity in the literature on this topic and that the quality of what exists tends to be lower, more quality research is needed in this area to further evaluate the predictive value of grip strength.

#### **ACPOHE Functional Testing Toolkit**

#### **Authors & affiliations**

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**Background:** An important role of Occupational physiotherapists in the UK is to give advice on a person's fitness for the physical demands of their work, in line with existing guidelines. Many physiotherapists use functional testing to do this but there are numerous functional tests available with varying methodologies. ACPOHE (Association of Chartered Physiotherapists in Occupational Health and Ergonomics) want to encourage its members to use standardised functional testing as a routine part of physiotherapy clinical assessment in occupational health.

**Objective:** To develop an evidence based Functional Testing Tool Kit which encourages clinical reasoning and routine standardised functional testing leading to robust evidence-based fitness for work recommendations by physiotherapists.

**Methods:** ACPOHE evaluated functional tests within the evidence base and included in the toolkit those which were considered to be robust and clinically useful. A selection criteria included strength of validity and reliability, availability of normative data, practicality and cost The critical appraisal skills programme (CASP) tool was used as the initial framework to critically appraise each functional test. CASP provided guidance to the group and ensured a standardised approach to the appraisal process. If considered suitable for potential inclusion in the toolbox a further in-depth analysis of validity and reliability was undertaken. This included inter-rater reliability, test-retest reliability, concurrent, construct, and predictive validity. Assessment in terms of practicality was also considered and included cost, ease of administration and scoring, time taken and equipment required. The final summary consisted of the strength and weaknesses of each test.

**Results :** The final Function Testing toolkit comprises of 22 tests. The toolkit includes a test summary, test procedure, reference, normative data and scoring sheet.

**Conclusions :** The functional testing toolkit provides a set of evidence based functional tests that Occupational physiotherapists can use in routine clinical assessments. This will provide objective outcomes and support fitness to work recommendations.

# Functional Capacity Evaluation: Performance of Patients with Chronic Non-specific Low Back Pain Without Waddell Signs

#### Authors & affiliations

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**Background:** There is evidence that not only physical but also psychosocial factors influence Functional Capacity Evaluation (FCE) results. Such non-organic-somatic-components (NOSC) identified by Waddell signs (WS) testing showed consistent independent prediction for performance during four FCE tests. Within a comprehensive FCE, WS may serve as a validation tool separating results into FCE's reflecting physical capacity and FCE influenced by WS.

**Objectives:** The primary objective of this study is to evaluate the effect of Waddell signs (WS) on a comprehensive FCE in patients with chronic non-specific low back pain (CNSLBP) undergoing fitness for work evaluation. If an effect is observed, the secondary objective is to report performance of patients without WS in a comprehensive 1day FCE protocol.

**Methods:** Survey of patients with CNSLBP as their primary complaint, referred for fitness for work evaluation, age between 20 and 60 years. Main outcome measures were WS and performance during manual handling assessed with lifting from floor to waist, waist to crown, horizontal and one handed carry; grip strength with Jamar hand held Dynamometer; ambulation with stair climbing and six minute walking test; work postures with elevated work, forward bend standing, kneeling, and sitting.

**Results:** 145 male with a mean age of 44.5 years ( $\pm$ 10.1), and 53 females with a mean age of 43.6 years ( $\pm$ 11.0) were included. Mean days off work were in male 658 ( $\pm$ 1,056) and in female 642 ( $\pm$ 886). 33 % of all patients presented positive WS. FCE performance in male and female patients with positive and negative WS differed significantly in all comparisons except grip strength of the dominant hand and sitting in female. Performance of patients with negative WS indicated a mean physical capacity corresponding to light-medium work in females and medium work in males for both age groups.

**Conclusions:** WS should be assessed for interpretation of FCE results. Despite long work absence, patients with CNSLBP with negative WS demonstrated a physical capacity corresponding to substantial physical work demands.

# Comparison of two methods for interpreting lifting performance during Functional Capacity Evaluation

#### Authors & affiliations

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**Background:** Functional Capacity Evaluation (FCE) requires an effort determination by observation of effort indices for performance interpretation. 'Waddell signs' have shown to be associated with decreased functional performance. The question arises whether effort determination by observational criteria and 'Waddell signs' testing can be interchangeably used to interpret lifting performance.

**Objectives:** To assess the concurrent validity of 'submaximal-effort' rating and positive 'Waddell signs' and whether these contribute independently to lifting performance.

**Methods:** 130 patients with chronic nonspecific low back pain referred for fitness-for-work evaluation were included. Physical effort determination based on observational criteria was performed during FCE of lifting from 'floor to waist', 'waist to crown', and 'horizontal'. A second tester conducted 'Waddell signs' testing. Concurrent validity of 'Waddell signs' with 'submaximal-effort' was assessed by calculating sensitivity and specificity. Hierarchical regression analysis was used to determine the contribution of 'Waddell signs' and 'submaximal-effort' to lifting performance. Age and gender were covariates.

**Results:** Low sensitivity of 'Waddell signs' for 'submaximal-effort' determination by the FCE assessor was found. Between 53%-63% of the patients classified as showing 'submaximal-effort' presented positive 'Waddell signs'. 'Waddell signs' and 'submaximal-effort' were independent contributors to lifting performance. The contribution of 'submaximal-effort' was higher than that of 'Waddell signs', shown by 20 - 29% higher explained variation in lifting performance if 'submaximal-effort' was added to the model' compared to 3 - 6% higher explained variation if 'Waddell signs' were added.

**Conclusions:** In patients with chronic nonspecific low back pain, 'Waddell signs' testing and determination of physical effort by observational criteria should not be interchangeably used for interpreting lifting performance during FCE. Despite promising results for the validity of the observational criteria applied during FCE, further research on 'physical effort evaluation' is needed.

### Development and validation of a pain behavior assessment in patients with chronic low back pain

#### Authors & affiliations

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**Background:** High levels of pain behavior adversely affect the success of multidisciplinary rehabilitation of patients with chronic nonspecific low back pain (CNSLBP). Functional capacity evaluation (FCE) assessment should detect high levels of pain behavior to prevent the inclusion of unsuitable patients to functional rehabilitation programs.

**Objectives:** The aim of this study was to develop a Pain Behavior Assessment (PBA) and to evaluate its construct validity.

**Methods:** The PBA was developed by experts in the field and is literature-based. Inclusion criteria for participants of the validation study were: CNSLBP, age 20–60 years, referral for fitness-for-work evaluation. The PBA was applied by physiotherapists during FCE. Rasch analysis was performed to evaluate the construct validity of the PBA. Internal consistency was indicated by the person separation index (PSI), which corresponds to Cronbach's alpha.

**Results:** 145 male (72.5 %) and 55 female patients were included. Rasch analysis removed 11 items due to misfit and redundancy, resulting in a final PBA of 41 items. Item mean fit residual was -0.33 (SD 1.06) and total item Chi square 100.39 (df = 82, p = 0.08). The PSI value was 0.83. DIF analysis for age and gender revealed no bias.

**Conclusions:** The PBA is a valid assessment tool to describe pain behavior in CNSLBP patients. The high PSI-value justifies the use of the PBA in individuals. The PBA may help to screen patients for high levels of pain behavior.

## Development of a modified version of the Spinal Function Sort (M - SFS): A mixed method approach

#### Authors & affiliations

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**Background:** The Spinal Function Sort (SFS) consists of 50 depicted items which are linked to demonstrable, specific work-related tasks which involve the spine. The SFS has shown to be useful in addition to Functional Capacity Evaluations. The SFS has been translated and validated in different languages and is used in several countries. However, several studies indicated that practicality and measurement properties of the SFS could be improved.

**Objectives:** To develop a modified version of the Spinal Function Sort (M – SFS) measuring work related self-efficacy beliefs in patients with chronic low back pain.

**Methods:** A mixed method design consisting of three different methods (M) was performed. In interviews, participants were asked how often they perform the activities of the 50 SFS items in one week, and in semi-structured interviews which spinal postures and movements were associated with their back pain (M 1). Quantitative analysis of previously obtained SFS data investigated internal consistency, unidimensionality, item response, and floor and ceiling effect (M 2). Experts rated the SFS items based on their relevance (M 3). The findings from these methods were used within a final scoring system for item reduction.

**Results:** From semi-structured interviews with 17 participants, eight new items emerged (M 1). Quantitative analysis of 565 data sets (M 2) revealed very high internal consistency of all items (Cronbach's alpha = 0.98) indicating item redundancy, unidimensionality of the SFS was supported by Principal Component Analysis (PCA), good item response was confirmed by Rasch analysis, and a floor effect of four items depicting very heavy material handling was found. Experts agreed on 8 out of the 50 SFS as relevant (M 3). From the original SFS, 12 items met the predefined summary score of 9.

**Conclusions:** A modified version of the SFS and a new picture catalog have been developed. The feasibility, reliability and validity of this modified version was tested with a separate population of 60 patients. The results will be presented at the FCE conference 2016 in Wijk aan Zee, the Netherlands.

## Development and reliability testing of a qualitative score for rating compensatory movements in upper limb prosthesis wearers during execution of 4 FCE-tests.

#### Authors & affiliations

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**Background:** Musculoskeletal complaints (MSC) are twice as prevalent in persons with an upper limb defect compared to the general population. Overuse of the sound limb or compensatory movements of the affected limb may explain this difference.

**Objectives:** To develop 1) a qualitative scoring system for rating compensatory movements in upper limb prosthesis wearers during the performance of functional capacity evaluation tests adjusted for one handed individuals (FCE-OH), and to determine 2) the inter- and interrater reliability and 3) the feasibility of the scoring system.

**Methods:** The scoring system was developed in three subsequent steps following an international guideline for instrument development. Twelve (inter-) national FCE-experts, 6 physiotherapists, 12 upper limb prosthesis wearers, and 20 healthy controls were involved in the development. During reliability testing the raters scored videotapes of participating upper limb prosthesis wearers and controls, performing 4 FCE-OH tests two times (two weeks apart), using the developed scoring system. Feasibility was determined by using a questionnaire.

**Results:** Kappa value for intrarater reliability was 0.77. Kappa values for interrater reliability in the first and second rating sessions were  $\kappa$ =0.54 and  $\kappa$ =0.64, respectively. Feasibility was rated as good to excellent.

**Conclusions:** A feasible scoring system was developed to assess compensatory movements in upper limb prosthesis wearers when executing FCE-OH tests. Intrarater reliability was good, interrater reliability was satisfactory in most instances. The standardized scoring system for assessing compensatory upper limb movements during performance of FCE-OH tests may provide clinicians with useful information for prevention and treatment of MSC in upper limb prosthesis wearers.

# Development of a functional capacity evaluation measurement for individuals with upper limb reduction deficiency or amputation.

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**Background:** Functional capacity evaluations (FCEs) are developed for two-handed individuals. Due to the general young age of individuals with upper limb absence (ULA), which means that they have many working years ahead of them, and their high risk on musculoskeletal complaints, an FCE for these is individuals warranted.

**Objectives:** Objectives of this study were to develop and pilot test a functional capacity evaluation (FCE) for individuals with ULA, due to an upper limb reduction deficiency or amputation, and to compare test results with matched controls.

**Method:** An existing FCE, based on risk factors for work-related upper limb disorders, was adapted for use in one-handed individuals, with or without a prosthesis. The adapted FCE was pilot tested by 20 individuals with ULA (of which 10 with a below elbow ULA and 10 with an above elbow ULA; 17 males, and a mean age of 46.3 (SD: 10.5)), and 20 controls matched for sex, age, height and weight.

**Results:** The adapted FCE was named FCE – one-handed (FCE-OH) and consisted of the following tests: overhead lifting one-handed and two-handed, overhead working, repetitive reaching, fingertip dexterity and hand grip strength. Changes to tests were kept as small as possible, in order to allow future comparison with reference data for the working population. Individuals with ULA lifted significantly less compared to the matched controls. No differences for the other tests were found. Prosthesis users, performed the repetitive reaching test faster with their unaffected hand and placed more pins with this hand in the fingertip dexterity test, compared to the prosthesis hand.

**Conclusion:** The FCE-OH allows to test the functional capacity of the upper extremities of one-handed individuals, with or without a prosthesis, in a standardized environment. The FCE will enable rehabilitation physicians and therapists to objectively assess the physical capacity of an individual with ULA and give them substantiated advice regarding suitable work, and return or continuation of work. Results of the overhead lifting test were significantly influenced by the one-handedness of the participants. Now that the FCE-OH has been developed, further research on the relationship between the test results and MSC is in place.

#### Do Wearable Fitness Devices Correlate With Performance-Based Tests of Work-Related Functional Capacity

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**Background:** The use of wearable accelerometers in conjunction with Functional Capacity Evaluation (FCE) may provide additional useful information about day-to-day function or maximum performance in workers. However, little research has been conducted to compare FCE performance with accelerometer output.

**Objective:** The objectives of this study were to: (1) Determine the magnitude and direction of correlation between participant performance on five exercises taken from a FCE and scores from Actigraph activity monitors; and (2) Compare the results of two different placements of Actigraph devices.

**Method:** We used a cross-sectional design and convenience sampling to collect data from 46 healthy participants. Each participant completed 5 exercises selected from the WorkWell FCE protocol while wearing 2 Actigraph devices, 1 on the dominant side waist and 1 on the non-dominant wrist. The exercises included 5-repetition maximum lifting (floor-to-waist, overhead and front carry), a sustained overhead work endurance task, and the 6-minute walk test. Analysis included calculating Pearson regression coefficients between maximum FCE item performance and Actigraph vector magnitudes (VM) along with Intraclass Correlation Coefficients (ICC) to compare VM activity counts derived from the Actigraphs on the waist and wrist.

**Results:** Thirty-Nine (84.8%) participants had complete data and were included in analysis. Participants were predominantly young (x=23.73), males (54.30%). Findings indicate Actigraph VM data from the device worn on the waist correlated positively with maximum lift performance (r =0.39 - 0.64, p <0.001 to 0.08) and 6-minute walk distance (r =0.66, p <0.001) Actigraph data from wrist placement was not significantly correlated with FCE performance except when comparing average VM data and waist to crown lift (r =0.44, p <0.001). There was no significant correlation in either Actigraph placement for VM and overhead work time. ICCs between the two Actigraph placements ranged from poor to acceptable agreement (ICC =0.24-0.70, p < 0.001 to 0.19).

**Conclusions:** Actigraph device output correlated moderately with maximum performance on FCE lift and ambulation tests. Waist placement appears more suitable than wrist during performance-based tests. Actigraph devices may be useful during FCE evaluations and add another quantitative indicator of performance.

# ICF as the conceptual framework for FCE. Linking FCE tests to the ICF Comprehensive Core Set of Vocational Rehabilitation

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**Backgroud:** Due to inconsistent terminology, experts agreed on using the International Classification of Functioning, Health and Disability (ICF) as the conceptual framework for Functional Capacity Evaluations (FCE). The objectives of the study were to translate the Spinal Function Sort questionnaire into Finnish and Swedish, link FCE tests to the comprehensive ICF core set of vocational rehabilitation and to evaluate how precisely it is possible to describe the level of functioning of a person with low back pain using this core set.

**Methods:** The Spinal Function Sort (SFS) was translated into Finnish and Swedish using the 3-step cross-cultural adaptation. SFS and FCE tests (Complete Minnesota dexterity test, grip strength, lifting, carrying, pushing and pulling) were linked to the vocational rehabilitation core set by two independent raters during spring 2016. Results, including inter-rater agreement (Kappa Index) will be available to present in Wijk aan Zee, The Netherlands, September 29, 2016.

#### IMPLEMENTATION OF THE RESULTS AND SIGNIFICANCE OF THE STUDY

**Future steps**: Twenty subjects suffering from low back pain will be recruited through the occupational health services in Pietarsaari and Kokkola area, Finland 1.9.2016-31.5.2017. Participants will perform the FCE tests after being evaluated by the occupational health doctor. The results will be described using items from the vocational rehabilitation core set. After that the researcher will evaluate, according to the set criteria, whether or not the vocational rehabilitation core set is accurate enough to describe the participants' functional capacity.

This research will provide a Finnish and Swedish translation of the Spinal Function Sort. The ICF linked FCE tests can be added to the TOIMIA – database (www.thl.fi). ICF linked FCE tests will provide a common language to facilitate communication among evaluators from different disciplines, make it possible to compare data, both between countries and between different institutions as well as over time.

#### Associations of lifted weight and self-rated return-to-work prognosis

#### Authors & affiliations

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**Background:** In Germany, work-related medical rehabilitation (WMR) is an intervention to improve and to restore work ability in patients with an increased risk of permanent work disability. Functional capacity evaluation (FCE) is a major component of WMR. The current WMR guideline recommends a short FCE at admission in order to establish the rehabilitation plan. We examined how lifting floor-to-waist results (premature test termination, lifted weight) were associated with the self-rated return-to-work-prognosis.

**Methods:** Data come from the German arm of an ongoing international FCE study. In case of lifted weight, receiver operating characteristic (ROC) curves were analyzed in order to determine how the lifted weight differed between patients according to self-rated return-to-work-prognosis.

**Results:** The current sample includes 90 patients (50% women, mean age 46.8 years). 43.3% of the tests were terminated prematurely. Test terminations were most frequently due to the patient's decision (18.9%). However, reasons for test terminations were not well documented (15.6% not documented). While premature test termination was only slightly associated with self-rated return-work prognosis, there was a clear association between lifted weight and self-rated return-to-work prognosis. The area under the ROC curve of 0.728 (95% CI 0.623-0.833, p<0.001) indicated that the lifted weight differed substantially between patients with poor and good return-to-work prognosis. Patients with a poor return-to-work prognosis had significantly lower lifting scores. Moreover, multivariate analysis showed that lifted weight was a stronger predictor of a poor return-to-work prognosis than pain and selfrated work ability.

**Discussion:** FCE adds clinical meaningful data in order to understand a poor return-to-work prognosis even if a test is terminated prematurely. Documentation of test terminations needs to be improved in German rehabilitation centers.

## Sustainable return to work among construction workers on sick leave due to musculoskeletal disorders: what is the added value of action versus a question?

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**Objective:** This study aims to evaluate whether performance-based tests have additional prognostic value over self-reported work ability for sustainable return to work (RTW) in physically demanding work.

**Methods:** A one-year prospective cohort study was performed among 72 construction workers on sick leave for six weeks due to musculoskeletal disorders. The Work Ability Index (WAI) question regarding "current work ability" was used. Three dynamic lifting tests were used from a Functional Capacity Evaluation (FCE). Sustainable RTW was the number of days on sick leave until the first day of returning fully to work for a period of ≥4 weeks. Regression models were built to calculate the prognostic values.

**Results:** Self-reported work ability alone predicted sustainable RTW (R=0.31, R2=0.09, P=0.009). In combination with one lifting test, the explained variance (R2) increased to 0.16 (P=0.001).

**Conclusion:** Combining self-reported work ability and a lifting test nearly doubled the explained variance for sustainable RTW in physically demanding work, although the strength remained modest.

#### The predictive validity of a workplace-specific and strain-related short-form Functional Capacity Evaluation in patients with musculoskeletal disorders

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**Background:** In Germany, Functional Capacity Evaluation (FCE) is most commonly used within the work-related medical rehabilitation to assess the physical capacity of a patient in relation to a specific workplace. Even so, there is still less evidence concerning the predictive validity of FCE.

**Objective:** The aim of the study was to determine the ability of a short-form FCE, in which the selection of specific FCE-tests is based on the subjective workplace-related strain, to predict sustainable return to work (RTW).

**Methods:** In this multicentric prospective cohort study, patients with musculoskeletal disorders filled in questionnaires at admission and three month after discharge. An FCE was performed at admission and discharge. Sustainable RTW was defined as a combination of employment at 3-month follow-up with less than 1.5 weeks sick leave because of musculoskeletal disorders within the follow-up period. As predictive FCE information, the work-related physical capacity, assessed by therapists (very good to very poor), was analyzed. Logistic regression models (crude and adjusted for the concurrent predictors employment, sick leave at admission, patients' prognosis of expected work disability, vocational education, kind of occupation and patient's prognosis of RTW) were created to predict RTW.

**Results:** Complete data were obtained for 198 patients (34% female, mean age 48 years, 82% working at least 3h/day at admission). The mean number of selected FCE-tests was 3.5. At follow-up, sustainable RTW was judged as failed for 41.0%. Discriminating between a positive (moderate to very good) and negative (rather poor and very poor) FCE-rating at discharge, RTW was correctly predicted for 145 of 198 patients (73.2%), with a high sensitivity (94.9%) and a poor specificity (42.0%). The FCE-information predicted RTW in the crude as well as in the adjusted regression model. Integrating the FCE-information at admission into the reference model led to a significant increase from 44.5% to 46.5%.

**Conclusions:** Sustainable RTW can be predicted by using a workplace-specific and strainrelated short-form FCE in patients with musculoskeletal disorders.

#### Upper Limb Isokinetic Strength Assessment Applicability in Work Injury Patients

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#### Background:

Work related upper limb injuries (WRULI) are a major concern in rehabilitation settings. Isokinetic strength assessment may be a central part of rehabilitation process as well as permanent impairment evaluation. However, maximality of effort should be assured.

#### **Objective:**

The first aim of the present study is to examine the applicability of the isokinetic difference eccentric to concentric (DEC) parameter for identifying submaximal effort in healthy volunteers and workers with potential weakness of upper limb muscles (namely shoulder external rotators (SER), wrist palmar flexors (WPF) and wrist dorsal flexors (WDF)). The second aim of the study is to explore isokinetic test parameter usefulness in WRULI assessments.

#### Methods:

1<sup>st</sup> objective: Two groups of seventeen and Twenty healthy male volunteers aged 20-40 years without prior history of upper limb injury were instructed to exert maximal effort and then simulate weakness of SER muscles and the WF and WE muscles respectively. The muscular output was mechanically measured using isokinetic dynamometry and a well-established test protocol. DEC was calculated for all actions.

2<sup>nd</sup> objective: A cross sectional study of seventy-four (33 female and 41 male) patients who claimed compensation for work-related shoulder injury was designed. SER muscle isokinetic strength was tested and DEC and deficits calculated. Finally, a prospective study including sixty-eight (22 female and 44 male) patients who claimed compensation for work-related chronic forearm injury was designed. Study consisted in forearm muscle isokinetic test performance, DEC parameter calculation, isokinetic parameters (strength deficits and WPF/WDF ratios) and analysis and prospective evaluation of patient final functional outcome and injury relapse within the first year after discharge.

#### **Results:**

Both shoulder and wrist muscles (namely SER, WPF and WDF) submaximal effort DEC values were significantly higher than their maximal effort counterparts. Thus DEC cutoff levels could be set above which a particular effort could be submaximal (SER: 0.81; WPF: 0.015; WDF: 0.14). Sensitivity and sensibility were 100%/100%; 65%/65% and 80%/85% for SER, WPF and WDF respectively.

Application of DEC in real shoulder injury patient SER maximality of effort revealed a 45 % and 17% prevalence of submaximal effort in women and men respectively. Such difference of proportions was highly significant. In forearm injury patients, application of DEC in WPF showed a submaximal effort prevalence of 22.7 and 18.2% for women and men respectively. Finally, WDF submaximal effort prevalence was 4.55% and 18.2 % for women and men respectively. Proportion of submaximal effort comparison between genders did not show significant differences.

Both shoulder injury patient with previous shoulder surgery and with permanent disability showed significantly higher deficits that the non-surgical/ non-disability counterparts. Significant differences, however, could only be demonstrated in the disability/non-disability group comparison.

Regarding forearm injury patients, women with previous surgery showed significantly higher PF and DF strength deficits than non-surgical ones, whereas palmar FP/DF ratios did not differ between surgical and non surgical patients. In men, surgery did not implied significantly different deficits. Regarding final functional outcome, men with some kind of permanent impairment showed a significantly lower PF/DF muscle ratio whereas deficits did not show any significant relationship. Finally, men, which suffered a relapse within a year after the test performance, showed significantly higher PF/DF ratios and significantly lower PF and DF strength deficits.

#### Conclusion:

The findings support that the DEC is an efficient parameter to assess SER, WPF and WDF muscle maximality of effort. The application of the DEC for isokinetic test performance evaluation in WRULI patients can also be backed. In terms of SER status in male worker injury, the results support the application of isokinetic tests both in the clinical and medicolegal sense. However, the gender discrepancy deserves further research. Regarding WPF and WDF in both female and male workers, the results support the clinical and medicolegal applicability of isokinetic test parameters and, particularly, WPF/WDF ratios might have predictive validity of injury relapse.

#### Proposed Inclusion of Work Physiology in FCE Testing – Heart Rate Reserve Method

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#### Abstract:

Analysis of heart rate response to activity is not a standard method in the determination of full time work tolerance in FCE protocols. A recent pilot study revealed a lack of physiological data in current FCE practice in the United States. The purpose of this guided discussion is to spark a conversation about the use of heart rate data in determining full time work tolerance during FCE testing. The current utility of work physiology measures in commercial FCE methods will be reviewed and rationale for the inclusion of HRR method will be provided with examples. During the guided discussion, participants will consider and propose study designs to test the utility and reliability of the HRR method for the determination of full time work tolerance during FCE protocols.

**PDF articles** Conference summary: the first and second international functional capacity evaluation research meeting in the Journal of Occupational Rehabilitation

2012 = The 1st conference was held in 2012 in the Netherlands, with 48 participants from 8 countries attending. An article summarizing the original conference has been published: Reneman MF, Soer R, Gross DP. Developing research on performance-based functional work assessment: report on the first international functional capacity evaluation research meeting. *Journal of Occupational Rehabilitation*. 2013;23(4):513-5.

### Developing Research on Performance-Based Functional Work Assessment: Report on the First International Functional Capacity Evaluation Research Meeting

M. F. Reneman · R. Soer · D. P. Gross

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Abstract Introduction Research on Performance-Based Work Assessment, also known as Functional Capacity Evaluation (FCE), has evolved substantially over the past decades. Although this field of research has developed, the use of FCE has been an object of discussion and debate internationally. Unfortunately, there has been no platform or infrastructure available for FCE researchers to present their research, discuss, and collaborate. Methods An International FCE Research Meeting was held in Haren, The Netherlands on October 25, 2012, with 48 participants from eight countries. The meeting consisted of presentation of new research, two debates, and an open discussion that aimed at creating an overview of gaps in research as identified by the participants. *Results* The discussion resulted in the identification of 17 research needs, which are listed in this paper. Important categories were: further validation of FCE across settings, jurisdictions and patient groups; additional impact and cost-effectiveness evaluation of FCE compared to alternatives; and the use of ICF as guiding framework. Conclusion Researchers, clinicians, and other professionals in the FCE area are interested in improving the quality and content of FCE research by

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setting a common set of priorities and creating an international peer network.

**Keywords** Functional capacity evaluation · Work assessment · Disability evaluation · Knowledge transfer

#### Background

Research on Performance-Based Functional Worker Assessment, also known as Functional Capacity Evaluation (FCE), has evolved substantially over the past decades. A brief PubMed search from 1990 to September 2012 reveals over 130 papers published in peer-reviewed literature written in English (Key-words: functional capacity evaluation, and the names of key authors). Of those papers, 73 % provided new original data, 18 % were opinion papers, and 9 % were (systematic) reviews. FCE research producing countries were: the Netherlands (38 % of the publications), Canada (17 %), USA (20 %), Australia (12 %), Germany (4 %), Switzerland (4 %), Hong Kong/China (3 %), South Africa (1%) and Israel (1%). The papers were published in 33 Journals, of which the most frequent ( $\geq 5$  %) were: Journal of Occupational Rehabilitation (29 %), WORK (17 %), Archives of Physical Medicine and Rehabilitation (7 %), and Disability & Rehabilitation (6 %). Over 90 % of the articles have been published since the year 2000.

Although this field of research has evolved, FCE results and its clinical applicability have been subject to diverse interpretations leading to discussions in international literature related to prognostic value of FCE and use in sincerity-of-effort determinations [1–6]. Different theoretical frameworks, developed and adapted by clinicians, researchers and commercial parties, have led to substantial controversies. This includes whether FCE results should be **PDF articles** Conference summary: the first and second international functional capacity evaluation research meeting in the Journal of Occupational Rehabilitation

2014 = The 2nd conference was held in 2014 in Toronto, Canada, with 54 participants from 9 countries attending. An article summarizing the original conference has been also published:

James CL, Reneman MF, Gross DP. Functional Capacity Evaluation Research: Report from the Second International Functional Capacity Evaluation Research Meeting. *Journal of Occupational Rehabilitation*. 2016;26(1):80-3.



### Functional Capacity Evaluation Research: Report from the Second International Functional Capacity Evaluation Research Meeting

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Abstract Introduction Functional capacity evaluations are an important component of many occupational rehabilitation programs and can play a role in facilitating reintegration to work thus improving health and disability outcomes. The field of functional capacity evaluation (FCE) research has continued to develop over recent years, with growing evidence on the reliability, validity and clinical utility of FCE within different patient and healthy worker groups. The second International FCE Research Conference was held in Toronto, Canada on October 2nd 2014 adjacent to the 2014 Work Disability Prevention Integration conference. This paper describes the outcomes of the conference. *Report* Fifty-four participants from nine countries attended the conference where eleven research projects and three workshops were presented. The conference provided an opportunity to discuss FCE practice, present new research and provide a forum for discourse around the issues pertinent to FCE use. Conference presentations covered aspects of FCE use including the ICF-FCE interface, aspects of reliability and validity, consideration of specific injury populations, comparisons of FCE components and a lively debate on the merits of 'Man versus Machine' in FCE's. Future directions Researchers, clinicians, and other professionals in the FCE area have a

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common desire to improve the content and quality of FCE research and to collaborate to further develop research across systems, cultures and countries.

**Keywords** Functional capacity evaluation · Work assessment · Disability evaluation

#### Background

Functional capacity evaluation (FCE) is a performancebased measure of ability to inform decisions about a worker's capacity for participation in work activities. FCEs are often used in occupational and vocational rehabilitation to screen potential employees as pre-employment assessments, to assess physical rehabilitation needs, to determine work readiness and job placement following injury, to facilitate return to work, and to determine a person's functional capacity for compensation or litigation reasons [1-7].

The field of FCE research has continued to grow with over twenty articles specific to FCEs published since the 1st International FCE Research Conference in September 2012 (search via Medline and PubMed). This new research builds on existing literature specifically investigating the use of FCE with particular populations or injury groups [8–13]; examines reliability and validity of various FCEs or components thereof [14–21]; explores the use of normative data in FCE [22–24]; and compares FCE with other clinical assessment components used to determine function [25–28].

Despite new research published to inform the use of FCE, there continues to be variation in FCE practice due to differences in systems and cultural contexts in which clinicians operate. There is no internationally common

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family_name	Albert	Alles	Ansuategui Echeita	Baltrusch	Becker	Berduszek	Bethge	Beudeker	Bienlein	Bühne	Chaler	Cornelissen	De Hert	Edelaar	Fitzpatrick	Galper	Gijzen	Gross	Hamers	Hartog	Jackson-Randall	James	Jansen	Karpman	

Registration list 3rd International FCE Research Conference – September 29, 2016 – Wijk aan Zee – Netherlands.

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The Netherl	South Africa	Finland	Australia	USA	South Africa	Switzerland	NSA	New Zealan	The Netherl	The Netherl	The Netherl	The Netherl	Belgium	New Zealan	Belgium	UK	South Africa	Germany	The Netherl	NSA	The Netherl	The Netherl	The Netherl	Holland	The Netherl	The Netherl
Academic Medical Center	Maria Georgiou Incorporated	University of Eastern Finland	JobFit Systems International	EPI Rehabilitation	Keshika Naidoo	Rehabilitation Centre Valens	Everett Pacific Industrial Rehab	FCE Systems Ltd	University Medical Center Groningen	University Medical Center Groningen	Heliomare	University Medical Center Groningen	GTB	FCE Systems Ltd	KULeuven	Work Fit	Megan Spavins OT inc.	German Federal Pension Insurance	Military Rehabilitation Centre, Doorn	Center for Disability Research, LM R.S.I.	Arbo Unie	Arbo Unie	Ergatis	UWV	Military Rehabilitation Centre Aardenburg	Heliomare
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Kuijer	Laskaris	Lassfolk	Legge	Lister Becker	Naidoo	Oesch	Ogle	O'Neill	Piersma	Postema	Pouw	Reneman	Ruppol	Sellars	Smeers	Smyth	Spavins	Streibelt	Tolk	Trippolini	van de Zande	Waalewijn	Wierper	Willems	Wurff	Zandstra

![](_page_31_Figure_1.jpeg)

![](_page_31_Figure_2.jpeg)

![](_page_32_Picture_1.jpeg)

![](_page_32_Picture_2.jpeg)

### Update since last symposium in Toronto

- Paper published JOR
- ICF Keynote presented today
- Multicounty study presented today
- Observation comensatory movements presented today
- ...
- ...

![](_page_33_Figure_1.jpeg)

![](_page_34_Picture_1.jpeg)

Project Team
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university of groningen Bellikon Der kans de Uthalfera

![](_page_35_Picture_1.jpeg)

![](_page_35_Picture_2.jpeg)
		Mean (SD)	
FCE activity	Netherlands	Canada	Switzerland
Floor-to-waist lift (kg, $n = 564$ )	28 (12.9)*	13 (10.4)	16 (6.5)
Waist-to-overhead lift (kg, $n = 564$ )	16 (6.1)*	11 (6.1)	13 (4.6)
Horizontal lift (kg, $n = 564$ )	34 (15.6)*	17 (9.8)	20 (7.7)
Right-handed carry (kg, $n = 491$ )	24 (10.2)*	15 (8.5)	17 (5.7)
Left-handed carry (kg, $n = 490$ )	23 (9.7)*	15 (8.4)	16 (5.5)
Front carry (kg, $n = 373$ )	33 (13.6)*	17 (10.1)	Not tested
*Statistically significant other samples ( $p < 0.0$	difference betw 1).	ween the Du	tch and both

	FCE tests (unit), Mean (SD)		German		Non-German		p value*			
			Males n = 69	Females $n = 83$	$\begin{array}{l} \text{Males} \\ n = 112 \end{array}$	Females $n = 50^{a}$	Language d	ifferences		
	Hand grip stren Lifting waist to Overhead worki Repetitive reach	gth right (kgF) overhead (kg) ing (s) ning right (s) <sup>a</sup>	45.9 (12.1) 14.8 (6.4) 228.2 (90.0) 76.9 (20.3)	26.0 (8.1) 10.3 (4.0) 222.3 (94.9) 70.7 (25.2)	37.3(12.9) 11.9 (6.0) 157.8 (95.9) 88.4 (28.1)	18.4 (8.2) 7.3 (3.7) 141.4 (92.0) 84.63 (28.8)	<0.001 <0.001 <0.001 <0.001			
FCE tests	Pain now F (NRS 0-10) (0		Functional ability (SFS 0-200)		Disability (NDI 0-50)		Anxiety (HADS A 0-21)		Depression (HADS D 0-21)	
	German	N-German	German	N-German	German	N-German	German	N-German	German	N-German
Hand grip strength right (kgF) <sup>3</sup> 25 % CI Lifting waist to overhead (kg) <sup>6</sup> 25 % CI Overhead working (s) <sup>b</sup> 25 % CI	-0.24* -0.38 to - 0.08 1 -0.41 -0.53 to - 0.26 -0.39 -0.52 to - 0.25	-0.26 -0.40 to - 0.11 -0.33 -0.46 to - 0.19 -0.28 -0.41 to - 0.13	0.26 0.10-0.40 0.50 <sup>e</sup> 0.37-0.61 0.60 0.48-0.69	0.43 0.30-0.55 0.64 0.54-0.73 0.52 0.40-0.63	-0.16* -0.31 to 0.00 -0.34 -0.47 to - 0.19 -0.42 -0.54 to - 0.28	$\begin{array}{r} -0.32 \\ -0.45 \text{ to } -0.17 \\ -0.40 \\ -0.52 \text{ to } -0.26 \\ -0.40 \\ -0.52 \text{ to } -0.52 \text{ to } -0.26 \end{array}$	$\begin{array}{r} -0.24 \\ -0.38 \text{ to } - \\ 0.08 \\ -0.12^{\dagger d} \\ -0.27 \text{ to } 0.04 \\ -0.20^{\ast} \\ -0.35 \text{ to } - \\ 0.04 \end{array}$	-0.27 -0.40 to $-0.12-0.32^{d}-0.45$ to $-0.18-0.30-0.43$ to $-0.15$	-0.18* -0.33 to - 0.22 -0.19* -0.34 to - 0.03 -0.26 -0.41 to - 0.11	-0.27 -0.40 to - 0.12 -0.32 -0.45 to - 0.18 -0.35 -0.48 to - 0.20
Repetitive reaching right (s) <sup>b</sup>	0.28 0.13-0.42	0.27 0.12–0.41	-0.42 -0.54 to - 0.28	-0.36* -0.49 to - 0.21	0.39 0.25-0.52	0.29 0.14–0.43	0.17* -0.41 to - 0.11	0.19* 0.04–0.34	0.30 0.14–0.44	0.26 0.11-0.40



Clinician				
Variable	Group A	Group B	Р	Mean Difference Betwee Groups (95% CI)
Lifting capacity, kg				
All participants	32.1 (13.6), n=124	39.6 (16.4), n=132	.000	7.4 (3.7, 11.2)
Kinesiophobic <sup>b</sup>	28.0 (12.1), n=16	43.6 (21.2), n=20	.01	15.7 (3.6, 27.8)
Nonkinesiophobic	32.6 (13.8), n=107 Missing, n=1	38.9 (15.4), n=112	.02	6.2 (2.3, 10.1)
Borg CR-10 Scale <sup>c</sup>				
Examiners	8.4 (2.0)	8.9 (2.2)	.06	0.51 (0.0, 1.0)
Participants	8.2 (2.2)	9.3 (2.4)	.000	1.1 (0.5, 1.6)
univers groning	ity of en			Jniversity Medical Center Groninge



































F	loor-to-Wa	ist Lift		
	Patient's	Sex (male/female)		
		Height (cm)		
	Patient-Reported	Disability (PDI)		
		Pain Intensity (NRS)		
43% <mark>16%</mark> 15%		Social Isolation		
	Clinician's	<b>Observed Physical Effort</b>		
		during Lift Test		
	FCE	Measurement Country		
26%		<b>Test Ended Prematurely</b>		
		(yes/no)		
■Bio ■Psycho ■Social ■Unk	nown	Reason for Ending the Test		
university of groningen		University Medical Center Groningen		









































#### PRESENTATION GOALS

- Describe IMX's FCE Network and types of cases reviewed
- Discuss issues identified from report review
- Share my wonderings based on what I've reviewed and generate discussion.

#### IMX MEDICAL MANAGEMENT SERVICES

- National (US) provider of IME, FCEs, peer and medical reviews and case management
- IMX's FCE Network:
  - ~1000 credentialed providers in continental US, Alaska, Hawaii, Puerto Rico & Canada
  - PT or OT involved in performing the FCE
  - Commercial FCE models in our network: Workwell, Matheson, Blankenship, ErgoScience, Worksteps, Workability, BTE/Hanoun, Arcon, Joule, Occucare, J-Tech, DSI
  - "Best Practice"/Blended or facility specific model

### 2775 FCES DONE FROM 2006-2015

- 38% (1062): Worker's Compensation cases
- 62% (1713): Long Term Disability cases (LTD)
  - 99.9% were general test of abilities
  - 78%: 1-day FCE; 22%: 2-day FCE
    - 2-day tests trended downward:
      - 30% 2006-2008
      - 10% 2013-2015
- Q/A Process: All FCE reports were reviewed by IMX (Jill) prior to release

### NETWORK DEVELOPMENT & ADMIN CHALLENGES

- Identifying skilled/experienced evaluators
  - Use a vetting process-not foolproof
- Obtaining report within timeframe-occasionally difficult
- Obtaining requested revision/correctionoccasionally difficult
  - 70-80% of FCE reports needed some type of revision, or conclusions were questioned

# OVERVIEW OF REVISION REQUESTS (N=406)

- 2%: Capabilities form (caps) missing
- 39%: Revision/corrections needed
- 11%: Report data did not match caps form
- 41%: Additional information requested
- 21%: Evaluator's conclusion was questioned
- One report might have multiple requests

## REVISION REQUESTS-406 REPORT SAMPLE

- Revisions/Corrections needed: 39%
  - PDL was identified-carrier didn't want this
  - Treatment recommendations had to be deleted
  - Some reports conclusions exceeded test scope:
    - Vocational recommendations were made
    - Report discussed cognitive or psychological status (e.g., "the client was anxious")
  - Typographical errors, wrong name or pronoun in report

### **REVISION REQUESTS-CONT'D**

- Report did not match the caps form: 11%
- Additional information was requested: 41%
  - No test endpoints listed in report
  - No clinical exam findings were in report
  - If evaluee used assistive device, this wasn't stated
  - For 2-day test, no comparison made between test days. (some providers sent a report for each test date)
  - Purpose of FCE not stated or unclear (e.g., The purpose of FCE was "to prove or disprove the presence of organic pathology.")



### ADDITIONAL INFO REQUESTS-CONT'D

- No rationale to support conclusion that evaluee cannot work 8-hour day.
- A limitation was reported without supporting data or observation.
- Job match condition listed as "never", but hard to understand in view of test data or evaluee's reported statements:
  - Stairs "never" but evaluee lives in 2-story home.
  - Sitting "never" but how did evaluee travel to facility?



# REVIEWER QUESTIONED REPORT CONCLUSIONS: 21%

- Reported abilities exceeded what the test data supported. Examples:
  - Evaluee was using oxygen and short of breath, but walking was "frequent".
  - Evaluee had carpal tunnel syndrome with symptoms, but hand use was "constant".
  - Evaluee was morbidly obese with poor aerobic fitness but stair climbing was "frequent".

### REVIEWER QUESTIONED CONCLUSIONS-CONT'D

- Reported ability seemed to be more limited than what data suggested:
  - Fine motor skills were reported as "normal', hand motion/strength was normal-ability listed as occasional.
  - Evaluee's standing & walking were occasional, but constant lifting/carrying abilities were reported.
- Conflicting statement were in report:
  - Evaluee could perform firm grasp but not simple grasp.

# REVIEWER QUESTIONED CONCLUSIONS-CONT'D

- Conflicting statements-cont'd
  - Test data had wide variability between trials but was reported as consistent
  - Evaluator stated that there was "no competitive test performance" and this reflected effort during FCE.
  - Evaluee's performance was labeled "maximal", but report stated symptoms limited performance and low activity levels were reported.
- Fingering abilities were based on comparing performance to Purdue Pegboard norms-low norm score = evaluee unable to perform



- Evaluator used submax YMCA step test to assess aerobic fitness in subject with lower extremity dysfunction.
- Evaluator did not test evaluee using the lumbar support, single point cane and implanted spinal cord stimulator he typically wore because he felt this would overestimate the evaluee's functional abilities.
- Evaluee with poor standing ability performed dexterity/reaching in standing versus sitting.

### MY QUESTIONS & OBSERVATIONS:

- Team evaluators: is this approach optimal?
- There is variability between evaluators & models:
  - The extent of the evaluee interview, clinical exam, how data is reported and correlated with functional performance.
  - Inclusion of rationale for determinations. Is this important?
  - Criteria for acceptable BP and HR
  - Inclusion of submax aerobic test & test type
    - Is a step test a good test for people with LE injury, high pain focus or who have been sedentary?
  - Lifting tests vary between models: # of reps, acceptable posture, how frequent lift ability is determined & whether constant ability is even included.



- How movement and positional tolerances are assessed is widely variable. What is most appropriate?
  - Example: The criteria for sitting tolerance. ErgoScience monitors for 5 min. and counts positional adjustments versus having evaluee sit for longer time.
- Test sequence is variable. Does it matter? Would starting with a less provocative activity be worthwhile?
- Variability in how full versus part-time work ability is determined. What criteria should be used?

### MORE QUESTIONS

- What does "maximal" effort mean? Should "maximal" be used to reflect a person's tolerable abilities?
- Is it meaningful to state a person's "physical behaviors correlated with his subjective complaints of pain?" Is this stating the obvious? Does this reflect acceptable performance effort?
- Some evaluators did not have the updated version of a commercial FCE model.

### MORE QUESTIONS...

- Who is best qualified to perform FCEs?
- What type and amount of training is optimal?
  - How do we ensure evaluators have the most current information and test version?
- Is there value in developing a generic best practice model to ensure consistency in methodology, interpretation and reporting?

### WHAT ARE YOUR THOUGHTS?

 How do we (or do we) make FCE as effective, reliable and valid across models?









### Rationale

- Average cost of WRMSD is increasingcritical to ensure adequate measures are being used for assessing work ability as part of the return-to-work process (Bhattacharya, 2014).
- Grip strength-clinically used to predict and assess work abilities (Chan, Tran, & Koh, 2000; Hollak et
  - al., 2014; Sluiter, 2006**)**
  - Necessary to explore the validity of grip strength as a predictor of work ability



### Methods

- Scoping Review of 15 articles (Initially 141 articles)
- Broken down into 3 themes
- Articles evaluated for rigor, emerging themes, and gaps
- Selected based on methods from Joanne Briggs Institute.
- Studies critically appraised using SEQES (Structured Effectiveness Quality Evaluation Scale)
  - Grip strength predict return-to-work or work ability
  - Relationship between grip strength and specific job demands or work tasks
  - Relationship between grip strength and force requirements



### Theme 1: Predicting work ability

- Greater grip strength=faster time to work (n=2)
- Grip strength predicting work ability did not show any predictive relationship between grip strength and work ability (n=3)
- Grip negative predictor of time RTW (n=2)
- Overall, GD has variable relationship with predicting work ability



# Theme 3: GD as an estimator of task-specific force requirements

- Moderate to strong positive correlations between GD and forces necessary for completing tasks (n=2) - no multivariate analysis
- Significant associations between GD and force applied during specific work task; no association strength (n=1)
- Significant between subject differences in GD when it was used as an estimate of task-specific hand force demands (n=1)








ACPOHE

# **FMT Toolkit - Objectives**

- To encourage functional testing as a routine part of OH physiotherapy
- To improve the reliability and consistency of assessments of functional testing
- To improve evidence base of OH Physio recommendations for fitness to work assessments.



# 1. Step – Literature Review

 Tests with set protocols Modified FCEs short FCEs (Gross 2007) job specific FCEs (Gouttebarge 2009), injury–specific FCE (Trippolini 2012)

· Tests with evidence of validity and reliability

To identify range of functional tests



# **2. Step – Clinically Useful**Tests with evidence based Evaluated in terms of usefulness in clinical practice Based on experience of the steering group – peer review Considered practicality in terms of cost & time





	ACPOHE Physiosforworkaniehealth
FMT Toolkit	
Chester Step Test	Sykes K & Roberts A (2004)
6 Minute Walk Test	<ul><li>American Thoracic Society</li><li>Butland et al (1982)</li></ul>
<ul> <li>Maximum Grip Strength</li> </ul>	<ul> <li>Mathiowetz (1984)</li> </ul>
Back Performance     Scale	<ul><li>Strand &amp; Nilssen (2002)</li><li>Magnusson et al (2004)</li></ul>

	ACPOHE PHYSIOSFORWORK AND HEALTH
FMT Toolkit	
<ul> <li>One-legged Stance (Berg 1989)</li> </ul>	
• MTAP = (Mayer, Mooney & Ma 2005)	atheson
Timed Sit-Stand     (Csuka M & McCarty	D, 1985)
<ul> <li>Single Leg Loading</li> <li>(Almangoush ,2014)</li> <li>(Herrington &amp; Munro,</li> </ul>	2014)

### ACPOHE **Functional Measurement Tests**

### ACPOHE

- Chester Step Test
- 6 Minute Walk Test
- Maximum Grip Strength
   Carrying
- Back Performance Scale
- One-legged Stance
- MTAP
- Timed Sit-Stand
- Single Leg Loading

### (Soer 2009)

- Lifting Low
- Lifting High
- Static Overhead Work
- Static Bent Work
- Repetitive Bending
- Repetitive Side Reaching
- Finger Grip Strength
- Perdue Peg Board
- Minnesota Manual Dexterity



Five St	ar S	Sumn	nary			
Test	Star Rating	Reliability	Validity	Normative Data	Practicality	Cost <£100
Chester Step test	4	Yes	Yes	Yes	10 min	Moderate
6 Min Walk Test	5	Yes	Yes	Yes	<10 min	Minimal
Hand Grip Strength	4	Yes	Yes	Yes	<10 min	High
Back Performance Scale	4	Yes	Yes	N/A	<10 min	Minimal

# FMT Toolkit - (Soer 2009)

### Awaiting CASP analysis

- Lifting low
- Lifting high
- Carrying
- Overhead working
- Forward bending
- Good inter-rater reliability
- Good intra-rater reliability
- 5 Test have good validity when matched to work demands (Hoozemanas 2001)

# **FMT Toolkit Content**

- Critical Appraisal
- Analysis of Validity and reliability
- Test Protocol
- Normative data
- Scoring Sheet
- References

Equipment Need

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- Approx cost
- Approx time
- Strengths
- Weaknesses

QASLS		Optimal	Sub-optimal	
Arm strategy	Excessive arm movement to balance			ACPOHE physiosforworkandhealth
Trunk alignment	Leaning in any direction			
Pelvic plane	Loss of horizontal plane			
	Excessive tilt or rotation	- T		
Thigh motion	WB thigh moves into hip adduction			

# Next Steps..

• Promote the Toolkit to OH Physios in the UK

- Gain feedback regarding usefulness
- Critical appraisal of 10 tests (Soer 2009)
- Provide training & courses to support the FMT Toolkit and the use of standardised protocols
- Develop video footage to support the manual



# Summary

 ACPOHE FCE Special interest group developed a Functional Measurement Toolkit

ACPOHE

- Based on research and clinical evidence
- Encourage standardised, evidence practice
- Promotes functional assessment within clinical practice





- Almangoush, A., Herrington, L., & Jones, R. Intratester (2014), inter-tester and test-retest reliability of a qualitative scoring system of limb alignment during single leg squat. Physical Therapy and Rehabilitation
- Herrington L and Munro LA (2014). A preliminary investigation to establish the criterion validity of a qualitative scoring system of limb alignment during single leg squat and landing. Journal of Exercise, Sports and Orthopaedics
- Gross, Douglas P, Battié, M. C., & Asante, A. K. (2007). Evaluation of a short-form functional capacity evaluation: less may be best. Journal of occupational rehabilitation, 17(3), 422–35. oi:10.1007/ s10926-007-9087-y











## FCE purport to measure work related physical capacity (Isemhagen 1988)

### KLINIKEN VALENS



FCE is influenced by perceived disability and pain intensity

(Hart 1998, Reneman 2002, Gross 2003)

Age & gender explained only little of the variation in FCE performance

(Gross 2005)

FCE – Performance of patients with CNSLBP without Waddell signs

### Nonorganic-phyiscal-signs Waddell, 1980





### KLINIKEN VALENS

"By helping to separate the physical from the nonorganic, they clarify the assessment of purely physical conditions"

Waddell

# **Behavioural response to examination** (Main et al, 1992)

FCE – Performance of patients with CNSLBP without Waddell signs



### **Influences on FCE**

### KLINIKEN VALENS

FCE tests	Adj. R2	Final model	Unstd. Coeff.	Sig.
Lifting from floor to	0.54	Perceived functional ability	0.11	<0.001
waist (kg)		Gender (male)	4.73	0.001
		Waddell Signs	-0.95	0.009
Forward bend standing (sec)	0.42	Waddell Signs	-20.49	<0.001
		Days off work	-0.03	<0.001
		Perceived functional ability	0.31	*0.065
Grip strength dominant hand (kg)	0.58	Gender (male)	15.97	<0.001
		Perceived functional ability	0.11	<0.001
		Waddell Signs	-1.53	0.003
		Age	-0.25	0.005
Six minute walking	0.52	Waddell Signs	-27.13	<0.001
distance (m)		Salary previous job	0.01	0.002
		Pain intensity	-11.65	0.018
		FAB work activities	-2.50	0.014
* Not significant, but a confound	er	Age	-1.90	0.025

FCE – Performance of patients with CNSLBP without Waddell signs

# Conclusions In Conclusion Consistent independent predictors for FCE performance I Waddell Signs are consistent independent predictors for FCE performance I Further research should: Investigate the effect of Waddell Signs during a standardized one day protocol in patients with CNSLBP undergoing fitness for work evaluation. Report performance of patients with negative Waddell Signs during a standardized one day standardized one day FCE protocol.

















Age	FCE test (SD)	Female $(n = 23)$						Male $(n = 46)$						Diff.
		Mean (SD)	Percer	ntiles				Mean (SD)	Percenti	les				Sign. <sup>a</sup>
			Min.	25th	50th	75th	Max.		Min.	25th	50th	75th	Max.	
20-45	Lifting floor to waist (kg)	15.4 (7.2)	2.5	12.5	15.0	22.5	27.5	25.3 (10.7)	0.0	15.0	23.8	35.0	45.0	< 0.001
	Lifting waist to crown (kg)	11.1 (5.0)	5.0	7.5	11.3	13.1	27.5	18.7 (6.1)	5.0	14.4	20.0	22.5	30.0	< 0.001
	Lifting horizontal (kg)	17.9 (8.2)	5.0	12.5	15.0	22.5	40.0	30.9 (11.5)	10.0	20.0	30.0	40.0	60.0	< 0.001
	Carrying right (kg)	14.6 (6.0)	7.5	10.0	15.0	15.0	35.0	22.0 (8.0)	7.5	15.0	20.0	28.1	40.0	< 0.001
	Carrying left (kg)	14.3 (6.2)	5.0	10.0	12.5	17.5	35.0	21.0 (7.1)	5.0	15.0	20.0	25.0	40.0	< 0.001
	Grip Strength non dominant (kp)	22.7 (9.0)	5.5	18.2	24.1	29.8	39.1	45.3 (9.4)	19.3	38.6	46.1	51.5	69.4	< 0.001
	Grip Strength dominant (kp)	23.6 (10.0)	4.9	14.6	28.0	30.8	43.4	47.0 (11.2)	23.1	40.4	45.5	51.8	74.9	< 0.001
	Stair climb (sec)	145.1 (56.9)	82	108	130	176	323	137.3 (48.3)	74.0	101.5	127.5	152.5	284.0	ns
	Six Minute walk (m)	519.8 (104.2)	297	420	552	603	650	552.9 (96.2)	325.0	481.5	565.0	603.5	760.0	ns
	FCE test	Female $(n = 18)$						Male (n = 45)						Diff.
		Mean (SD)	Percenti	les				Mean (SD) Percentiles				Sign. <sup>a</sup>		
			Min.	25th	50th	75th	Max.		Min.	25th	50th	75th	Max.	
45-60	Lifting floor to waist (kg)	13.1 (4.2)	5.0	10.0	13.8	17.5	20.0	23.0 (9.7)	7.5	15.0	22.5	31.3	45.0	< 0.001
	Lifting waist to crown (kg)	10.7 (1.9)	7.5	10.0	10.0	12.5	12.5	16.7 (6.1)	7.5	12.5	15.0	20.0	35.0	< 0.001
	Lifting horizontal (kg)	17.6 (5.0)	10.0	14.4	17.5	20.6	27.5	26.7 (9.6)	7.5	20.0	25.0	32.5	50.0	< 0.001
	Carrying right (kg)	13.3 (3.3)	7.5	12.5	12.5	15.6	20.0	20.1 (7.2)	5.0	15.0	20.0	25.0	42.5	< 0.001
	Carrying left (kg)	11.9 (2.4)	7.5	10.0	12.5	15.0	15.0	19.7 (6.5)	7.5	15.0	20.0	22.5	37.5	< 0.001
	Grip Strength non dominant (kp)	22.1 (8.4)	8.2	14.7	20.7	29.2	41.1	39.1 (11.6)	10.5	31.8	38.6	46.8	64.6	< 0.001
	Grip Strength dominant (kp)	21.3 (8.4)	6.7	16.3	21.1	26.0	40.2	39.3 (12.4)	9.3	30.7	38.6	46.8	66.7	< 0.001
	Stair climb (sec)	183.6 (58.8)	128.0	138.5	156.5	218.3	340.0	153.8 (59.0)	68.0	117.5	141.0	169.5	360.0	ns
	Six Minute walk (m)	461.6 (93.6)	262.0	375.0	492.5	531.3	595.0	522.6 (96.6)	309.0	443.0	521.0	605.8	664.0	< 0.05

FCE – Performance of patients with CNSLBP without Waddell signs









Comparison of two methods for interpreting lifting performance during FCE



Comparison of two methods for interpreting lifting performance during FCE

# Observational criteria for physical effort determination during manual handling tests

		Observations at the Following Weight Load:	
Criteria	Maximal	Heavy	Light to Moderate
Muscle recruitment			
Prime movers	Bulging	Bulging	Normal recruitment
Accessory muscles	Bulging	Distinct recruitment	No or only slight muscle recruitment
Base of support	Very wide base	Distinctly increased	Natural stance
Posture	Substantial counterbalance	Distinctly increased counterbalance	No or only slight counterbalance in extension
Heart rate and respiration	Substantial increases in heart rate and respiration	Distinct increases in heart rate and respiration	No or minimal increases in heart rate and respiration
Control and safety	Still safe but unable to maintain control with the addition of any more weight	Increasingly controlled movement; might begin to use momentum; execution with difficulty but not yet at the limit	Smooth movements
Pace	Very slow (an increased pace would affect stability and control)	Distinctly slower; very deliberate movements	Moderate/comfortable pace
	Controly		l (Isernhagen 199

Comparison of two methods for interpreting lifting performance during FCE

# <section-header><section-header><section-header><text><text><text><text><text>





# Study objectives



To determine the concurrent validity of Waddell signs and submaximal effort and to assess the contributions of Waddell signs and submaximal effort to lifting performance during FCE in people with CNSLBP.

**V** KLINIKEN **VALENS** 



Comparison of two methods for interpreting lifting performance during FCE





6

### Concurrent validity of 'Waddell Signs' and Effort determination

Lifting From Floor to Waist

Submaximal

Effort

53 (40-66)

3.4 (1.9-6.1)

28

32

60

Maximal

Effort

84 (74–92)

0.6 (0.4–0.7)

Comparison of two methods for interpreting lifting performance during FCE

59

11

70

Contribution of 'V effort' to lifting pe	Vaddell Signs' erformance	and 'submaximal-	-

Parameter

Waddell signs (no. of participants)

Negative (87)

Positive (43)

Total (130)

% Sensitivity (95% CI)

% Specificity (95% Cl)

Positive LR<sup>b</sup> (95% CI)

Negative LR<sup>c</sup> (95% CI)

## M KLINIKEN VALENS

Maximal

Effort

68

12

80

85 (75–92)

0.5 (0.3-0.6)

**Horizontal Lifting** 

Submaximal

Effort

19

31

50

62 (47–75)

4.1 (2.3–7.3)

Value for: Lifting From Waist to Shoulder

Submaximal

Effort

18

31

49

63 (48–77)

4.3 (2.4–7.5)

Maximal

Effort

69

12

81

85 (76–92)

0.4 (0.3–0.6)

V	KL	.11	ЛК	EN	VA	LE	NS
---	----	-----	----	----	----	----	----

	Adj R2	Final model	Unstd. Coeff.	Sig.
Lifting from 'floor to	.48	Submaximal effort	-10.4	<.001
waist'		Gender (male)	8.2	<.001
		Waddell Signs	-5.9	<.001
		Age	1	.103
Lifting from 'waist to crown'	.60	Submaximal effort	-8.2	<.001
		Gender (male)	6.4	<.001
		Waddell Signs	-3.2	. <mark>002</mark>
		Age	1	.005
Lifting 'horizontal'	.64	Submaximal effort	-14.9	<.001
		Gender (male)	10.7	<.001
		Waddell Signs	-5.3	.001
		Age	2	.007

Comparison of two methods for interpreting lifting performance during FCE



J Occup Rehabil (2016) 26:103–113 DOI 10.1007/s10926-015-9593-2

# **Development and Validation of a Pain Behavior Assessment in Patients with Chronic Low Back Pain**

Katharina Meyer<sup>1</sup> · Andreas Klipstein<sup>2,3</sup> · Peter Oesch<sup>4</sup> · Beatrice Jansen<sup>5</sup> · Jan Kool<sup>4,6</sup> · Karin Niedermann<sup>6</sup>

Comparison of two methods for interpreting lifting performance during FCE




























Results	KLINIKEN VALENS
Population (n=200)	
male, n (Prozent)	145 (72.5)
age, years (SD)	43.3 (16.5)
duration , months (IQR)	34.4 (12-100)
Work Ability Index (SD)	21.3 (7.4)
Fear Avoidance Beliefs - Activity	19.2 (4.6)
Fear Avoidance Beliefs - Work	32.1 (9.6)
Oswestry Disability Index	43.3 (16.5)

















Eur Spi DOI 10 DOI 10.100 ORI	U Occup Rehabil (2015) 25:527–536 DOI 10.1007/s10926-014-9559-9 CrossMark
Per Cross is it and V with and C	Measurement Properties of the Spinal Function Sort in Patients with Sub-acute Whiplash-Associated Disorders
	M. A. Trippolini・P. U. Dijkstra・J. H. B. Geertzen・ M. F. Reneman ら い うにいにつう.
<ul> <li>Perce with the non-s</li> </ul>	ived functional ability for work tasks can be validly assessed ne SFS in a European rehabilitation setting in patients with pecific low back pain, and is predictive for future work status.
<ul> <li>Howe</li> </ul>	ver,
<ul> <li>Item</li> </ul>	n redundancy ("same results with half of the items")
<ul> <li>Floc</li> </ul>	or effect on items with heavy lifting (50 kg)
<ul> <li>Con</li> </ul>	nmon activities such as sitting, walking were missing
<ul> <li>Time</li> </ul>	e consuming (for patient and practitioner)
■ Out	4 dated images?





	·	Able	Re	stric	ted	Unable		
1	Place or retrieve a 2.5 kg can between waist and overhead	1	2	3	4	5		
2	Lower a 10 pound milk crate from a bench to the floor	1	2	3	4	5		
3	Lift a 5 kg milk crate from the floor to eye level	1	2	3	4	5		
4	Load a 10 kg grocery bag into the trunk of an automobile	1	2	3	4	5		
5	Lower a 10 kg milk crate from eye level to the floor	1	2	3	4	5		
6	Unload two 5 kg grocery bags from the trunk of an automobile	1	2	3	4	5		
7	Carry two 5 kg sacks of groceries for 30 m	1	2	3	4	5		
8	Lift a 25 kg tool box from the floor to a bench	1	2	3	4	5		
9	Wash dishes at a sink	1	2	3	4	5		
10	Load or unload a dishwasher	1	2	3	4	5		
11	Push and pull a vacuum cleaner	1	2	3	4	5		
12	Get into an automobile driver's seat	1	2	3	4	5		
13	Stand for a prolonged time	1	2	3	4	5		
14	Walk for a prolonged time	1	2	3	4	5		
15	Stand bent forward over for a prolonged time	1	2	3	4	5		
16	Crouch for a prolonged time	1	2	3	4	5		
17	Sit bent forward for a prolonged time	1	2	3	4	5		(Janssen, Trippolini, Hilfike
18	Bend forward repeatedly	1	2	3	4	5	¢	Oescn, JOOR, 2015)
19	Sit on a chair for a prolonged time	1	2	3	4	5		7
20	Sit with whole body vibration for a prolonged time; e.g. a bus journey	1	2	3	4	5		1





#### Inclusion criteria

- Patients with chronic (> 3 months), unspecific msk pain
- Ages between 18 to 65
- Retest after 2 days is feasable
- Signed Informed consent

#### Exclusion crieteria

- Pregnancy
- Acute co-morbities (cardiopulmonary, psychiatric, neurologic or internal medical)
- Medically determined FCE limit
   < 25kg</li>
- Insuficcient proficiency in the German language

9



		Verein IG Ergonomie SAR Swiss Association of Rehabilitation
Results		
		11

Patie	nt characteristi	Verein IG Ergonomie S Swiss Associa of Rehabilitati	SAR ation on
62 Patien were inclu	ts (41 M / 21 F) with chro uded	nic (> 3 Months), unspecific pain	
		MW (SD)	
	Age (J)	38 (12)	
	Work (T)	173 (157)	
	SFS (0-200)	127 (44)	
	M-SFS (0-80)	54 (16)	
	ODI (0-50)	15 (6)	
	Lifting low (Kg)	19 (8)	
	Lifting high (Kg)	12 (6)	
	Horizonal Lifting (Kg)	22 (10)	
		12	







(2 items loading on multiple factors (vacuum cleaning 11, walking 21)

MSFSa1 MSFSa3

MSFSa4

MSFSa5 MSFSa6

ASFSa9

MSFSa10

MSFSa11 MSFSa12

MSFSa13

MSFSa14

MSFSa17 MSFSa18

MSFSa19 MSFSa20

MSFSa21

MSFSa22

MSESa23 MSFSa24 MSFSa25

Extraction I

15

.231

.114

65

.234

.386

.208

.312

.224

139

d: Princ a. Rotation converged in













Do Wearable Fitness Devices Correlate With Performance-Based Tests of Work-Related Functional Capacity



Jesse Karpman MSc Student Supervisor: Dr. Doug Gross Committee: Trish Manns UofA, Christy Lane Mount Royal



## **Actigraph Accelerometers**

- In this study the Actigraph wG3TX-BT triaxial accelerometer was used
- Motion data is collected from horizontal right-left (X), vertical (Y), and horizontal front-back (Z) axes
- Vector magnitudes (VM) can be calculated using all directions to show 3D motion
- Various studies have found this accelerometer to be valid for estimating energy expenditure, and tracking movements and exercise repetitions



# What are Function Capacity Evaluations?

- FCEs can be used to determined recovery
- FCEs have been defined as "an objective measurement of a person's ability to perform functional work activities" (Isernhagen, 1988)



## **Specific Objectives**

- Primary objective:
  - Determine the strength of correlation between Actigraph accelerometer Vector Magnitude data and 5 FCE items selected from the broader WorkWell FCE protocol.
- Secondary objective:
  - To compare correlations (ICC) between Actigraph Vector Magnitude data of two different placements on the body.

#### **Design and Sampling**

- A validation, cross sectional design was used
- Convenience sampling was used to enroll participants
- Subjects, either male or female, needed to be healthy individuals between the ages of 18-65 years
- Subjects were excluded if they were injured or had any physical limitations that would hinder their ability to complete certain exercise components from the FCE
- 46 total participants

#### **Data Collection**

- All participants were equipped with 2 Actigraph wGT3X-BT devices
- One device was worn on the nondominant wrist and a second device was worn on the waist located on the anterior superior iliac spine on the dominant side using a belt style strap
- Participants were also asked to wear a Polar heart rate monitor, which was part of the FCE protocol for determining maximum heart rate levels.



#### Measures

- 5 total exercises
- Three lifting tasks: floor to waist (5-rep max), waist to crown level (5-rep max) and front carry (1-rep max) – assesses strength and mobility
- Weighted overhead work (timed) assesses posture, and upper extremity endurance
- The 6-Minute Walk Test (distance) assess walking capacity

## Results

- 54.3% of the subjects were male and 89.1% were right handed
- The mean age of the sample was 23.7 years, the mean height was 170 cm and the mean weight was 73.2 kg
- The ages for the sample were between 19-40 years old, the heights were found to be between 152-194 cm and the weights between 43-135 kg

Resi Correla	ults ations between	maximum weiç	jht lifted and ve	ctor
magnit	udes from wais Peak Waist VM	t and wrist Act Average Waist VM	igraph placeme Peak Wrist VM	nts Average Wrist VM
Floor to Waist	0.40	0.45	0.18	0.18
Waist to Crown	0.39	0.39	0.15	0.44
Front Carry	0.57	0.64	-0.13	0.24
- Values in red	significant at P<	0.01		

Re	sults					
Correlatio Weighted time and a magnitud wrist Actio	ons betweer Overhead V average vec es from wai graph place	Nork Nork tor st and ments.	Correlations Minute Wall total activity and wrist A placements	s between Si < Test distan y counts froi ctigraph	ix- ce and n waist	
	Average Waist VM	Average Wrist VM		Total activity counts - Waist	Total activity counts - Wrist	
Total Time	-0.07	-0.21	Total Distance	0.66	0.23	
- Values in	red significa	ant at P<0.01				

# **ICC Results**

- Intraclass Correlation between waist and wrist placement of Actigraph Devices
- Data from the wrist expected to be higher than the waist therefore consistency agreement was used to analyze linear trend.
- ICC values ranged from 0.27 0.70
- Overall poor agreement
- Due in part to "noise" from the wrist placement

## Conclusion

- Waist placement of the Actigraph device appears more optimal than the wrist placement due to stronger correlations observed with waist placement
- Average vector magnitudes were found to have a stronger correlation than peak vector magnitudes
- Agreement between device placement (waist and wrist) was poor overall.

## Further Research

- Foundational study
- Similar design with an injured worker population
- Comparison between Actigraph recorded clinical data (during FCE) and Actigraph recorded workplace data







	Objectives	
<b>TRANSLATE</b> (1-3/16)	<b>LINK</b> (4-9/16)	<b>PILOT STUDY</b> (9/16 – 5/17)
<ul> <li>Spinal Function Sort Questionnaire (SFS) into Finnish and Swedish</li> </ul>	<ul> <li>FCE tests to the Comprehensive ICF Core Set of Vocational Rehabilitation</li> <li>Spinal Function Sort Questionnaire</li> <li>Complete Minnesota Dexterity</li> <li>Grip Strength</li> <li>Lifting and Carrying</li> <li>Pushing and Pulling</li> <li>Results from linking</li> </ul>	<ul> <li>Test 20 subjects</li> <li>Evaluate, according to the set criteria, whether or not the Vocational</li> <li>Rehabilitation Core Set is accurate enough to describe functional capacity among subjects' suffering from low back pain</li> </ul>

	Re	su	lts				
	SFS	Grip	Minnesota	Lift	Carry	Push	Pull
Number of items linked (n)	50	3	13	4	1	1	1
Number of concepts (n)	102	3	28	8	2	2	2
Number of unique ICF categories/							
Body function (total 2 <sup>nd</sup> level)	5 (3)	1 (0)	1 (0)	2 (0)	1 (0)	1 (0)	1 (0)
Activities and participation (total 2 <sup>nd</sup> level)	25 (5)	3 (0)	4 (2)	4 (1)	3 (1)	2 (0)	2 (0)
Personal factors	0	0	1	0	0	0	0
Total number of ICF categories (total 2 <sup>nd</sup> level)	30 (8)	4 (0)	5 (2)	6 (1)	4 (1)	3 (0)	3 (0)

#### ICF contents up to 2nd level categories of FCE tests and Questionnaire

ICF Category	SFS	Grip	Minnesota	Lift	Carry	Push	Pull
Body functions Chapter 2: Sensory functions and pain							
b235 Vestibular functions	x						
Chapter 7: Neuromusculoskeletal and movement–related functions							
b710 Mobility of joint functions	x						
b730 Muscle power functions	x						
Activity and Participation Chapter 1: Learning and applying knowledge							
d170 Writing			x				
Chapter 4: Mobility d430 Lifting and carrying objects	x			x	x		
d440 Fine hand use	x		x				
d445 Hand and arm use	x						
d449 Carrying, moving and handling objects, other specified and unspecified – dolley, trash barrel	x						
Chapter 6: Domestic life d640 Doing housework	x						

Overall pe and	rcentage of a Kappa coeff	agreement icient
Questionnaire/tests	Overall percentage of agreement (%)	Kappa coefficient
SFS	90,7	0,23
Grip strength	66,8	0,22
Minnesota	73,7	0,25
Liftina	50	_

16,7

40 40

Carrying

Pushing

Pulling





















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UNIVERSITÄT ZU LÜI

#### Institute for Social Medicine and Epidemiology | Rehabilitation and Work

#### Sample characteristics

	Mean (SD) or %
Age, mean (SD)	46.8 (11.8)
Sex, % female	46
Sickness absence, % ≥90 days	62
Work Ability Score, mean (SD)	4.3 (2.6)
% 0 – 5	68
% 6, 7	16
% 8 – 10	16
Self-rated RTW prognosis, % poor	49
Early test termination	46
Lifted weight, mean (SD)	19.1 (8.8)

n = 100; SD = standard deviation; RTW = return-to-work







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UNIVERSITÄT ZU LÜBECK	Institu	ute for Social Medicine a	and Epidemiology	Rehabilitation and Wo	ork
Additional effect of lifted weight in explaining poor RTW prognosis					
	OR	95% CI	р		
Lifted weight (10 kg increase)	0.48	0.25; 0.90	0.022		
Work Ability	0.86	0.72; 1.04	0.116		
Age: 55 years and older	4.05	1.47; 11.12	0.007		
Female	2.28	0.88; 5.93	0.091		
n = 100; OR = odds ratio; CI = confidence interval					
				1,	4










#### **Research Question**



Is a self-report on work ability in combination with FCE a better predictor for sustainable RTW than a self-report only in construction workers on sick leave due to MSDs?







# Data: FCE, Work Ability & sRTW

At 6 weeks sick leave	Mean	SD	Min	Max
Work Ability Index (0-10)	4.8	2.8	0	10
Upper Lifting Strength Test (kg)	22	9	5	50
Lower Lifting Strength Test (kg)	33	13	0	75
Carrying Lifting Strength Test (kg)	36	13	10	75
Days until sRTW	150	104	42	365



# Self report (+ FCE) = sRTW? $\Box$ Days until sRTWSingle-item Work Ability Index??Single-item Work Ability Index + FCE?? $r > .60 = Good, .30 \le r \le .60 = Moderate, r < .30 = Poor</td>$

Self report (+ FCI	E) = sRTW?
	Days until sRTW
Single-item Work Ability Index?	r = 0.31, p=0.009
Single-item Work Ability Index + FCE?	?
r > .60 = Good, .30 ≤ r ≤ .60 = Mod	erate, r < .30 = Poor
	Corgnel Institut

Self report + FC	E = sRTW?
	Days until sRTW
Single-item Work Ability Index?	r = 0.31, p=0.009
Single-item Work Ability Index + FCE?	r = 0.44, p=0.001
The single-item Work Ability Index que predictor for sRTW, with explained variables	estion is a moderate ariance of 9% ('adjusted r <sup>2</sup> ').
Adding one dynamic lifting test (floor- variance from 9% to 16% for sRTW	hip) increases the explained
	am Corgnel Instituut











Method	Deutsche Sporthochschule Köln German Sport University Cologne
Design	multicentric prospective cohort study (with four outpatient rehabilitation clinics in Cologne, Freiburg, Neuss & Viersen)
Participants	patients (N=198) with musculoskeletal disorders
Data collection	between September 2013 and January 2016
FCE-Indicator	overall FCE-rating (ability to cope with the physical work demands (positive vs. negative))
Outcome:	RTW: combination of employment at 3-month follow-up with less than 1.5 weeks of sick
leave	because of musculoskeletal disorders within
the	follow-up period
	D. Bühne – The predictive validity of ELA 🛛 🛍

Results		Deutsche Sporthochschule H German Sport University Co
Patient Characteristics (N=198)	%	Mean ± SD
Age (years)		47.7 ± 10.0
Gender (men)	66.2	
Sick-listed at admission	80.3	
Employment status at admission (employed)	82.3	
Initial diagnosis (ICD-10-code M40-54)	52.0	
Time of sick-leave 1 year pre-admission (>100 days)	43.4	
Patients' prognosis of expected work disability (heavily limited)	25.3	
Expected duration till RTW (≤1 month)	62.6	
Work demands (equally physical and non-physical demanding)	64.1	
FCE-tests (per patient (admission & discharge))		3.5 ± 1.0
FCE-result at admission (≥"moderate" physical work ability)	61.6	
FCE-result at discharge (≥"moderate" physical work ability)	79.8	
RTW (employed & low level of sick-leave)	59.1	
D. Bühne – The predictive validity of ELA	命	)

Results					Deuts Sport German	che hochschule K Sport University Col
1) predicti	ve validit	y of FCI	E-inform	nation at d	lischarge	
	R <sup>2</sup> <sub>Nagelkerke</sub>	AUC- ROC	CCR	Odds ratio (FCE)	Sensitivity	Specifity
Reference model*	0.285	0.777	70.7%		82.9%	53.1%
<b>Crude</b> (FCE-result positive vs. negative)	0.256	0.684	73.2%	13.4 (5.3 – 34.0)	92.9%	43.1%
Crude + baseline	0.270	0.716	73.2%	13.0 (5.1 – 33.2)	94.9%	42.0%
Adjusted*	0.425	0.825	78.8%	10.8 (4.0 – 29.5)	90.6%	61.7%
*Based on/adjusted fo admission, sick leave RTW=0, NRTW=1	r: age, gend 1 year preac	er, family s Imission, v	status, voc vork dema	ational qualif nds, initial dic	ication, sick- agnosis & ba	listed at seline

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D. Bühne – The predictive validity of ELA

Results	Deutsche Sporthochschule Költ German Sport University Cologn
2) gain of inform Sociodemographic data	nation at admission
<ul> <li>employment status</li> <li>vocational qualification</li> </ul>	<ul> <li>gender &amp; age</li> <li>family status</li> </ul>
Health-related data	
$\circ$ sick-listed at admission	<ul> <li>initial diagnosis</li> <li>sick leave 1 year preadmission</li> <li>general health (SF-12 item)</li> <li>depression (PHQ-2)</li> <li>pain</li> </ul>
Work-related data	
<ul> <li>work demands</li> <li>expected duration till RTW</li> <li>patients' prognosis of expected work disability</li> </ul>	<ul> <li>wish for retirement</li> <li>physical work ability (WAI-Item)</li> <li>job satisfaction</li> </ul>
D. Bühne – The	e predictive validity of ELA 🛛 🏛



2) (	gain of in	formatio	on at ad	Imission		
	R <sup>2</sup> <sub>Nagelkerke</sub>	AUC- ROC	CCR	Odds ratio (FCE)	Sensitivity	Specifity
Reference model*	0.445	0.857	77.8		88.0	63.0
<b>Crude</b> (FCE-result positive vs. negative)	0.128	0.656	67.2	3.8 (2.1 – 7.0)	74.4	56.8
Crude + baseline	0.144	0.681	67.7	3.7 (2.0 – 6.7)	82.1	46.9
Reference model + FCE-result	0.465	0.864	79.8	2.2 (1.1 - 4.8)	88.0	67.9
* Employment status, expected duration till I RTW=0. NRTW=1	vocational q RTW, patient	ualification ts' prognos	n, sick-liste is of expec	d at admissic ted work disc	on, work den ability	ands,













# **General Methods**

- Healthy volunteer sample
- Maximal and submaximal isokinetic effort performance at high and low velocities (normally 1:4) in concentric and eccentric modalities.
- From PT registers calculation of:
  - Ecc/Conc ratio at high and low velocities
  - DEC= (Ecc/Con) high velocity (Ecc/Con) low velocity



Clinical Rehabilitation 2007; 21: 241–247 **Department of Physical Medicine and Rehabilitation**, Mútua Egara, Department of Physical Medicine and Rapadim Chaler Department of Physical Medicine and Rehabilitation, Mútua Egara, Department of Physical Medicine and Rapadimitation, Hospital Mútua de Terrassa, Terrassa and Physical Activity and Sports Sciences Department, Fundació Blanquerna, Ramon Luli University, Barcelona, Spain, Zeevi Dvir Department of Physical Therapy, Sackler Faculty of Medicine, Tel Aviv University, Barcelona, Spain, Zeevi Dvir Department of Physical Therapy, Sackler Faculty of Physical Medicine and Rehabilitation, Mútua Egara, Terrassa and Roes Terrassa, Terrassa, Arrassa, Angels Abril Department of Physical Medicine and Rehabilitation, Mútua Geara, Terrassa and Roes Garreta Department of Physical Medicine and Rehabilitation, Mútua Egara, Terrassa and Department of Physical Medicine and Rehabilitation, Motagear, Terrassa, Barcelona, Spain Received 17th March 2006; returned for revisions 31st May 2006; revised manuscript accepted 2nd August 2006.











· · · · · · · · · · · · · · · · · · ·	Female	Male
No. of worker compensation patients included:	33	41
Mean age (yr±SD):	48.48±9.6	47.78±10.84
Mean weight (Kg±SD):	67.97±10.74	80.34±11.67*
Mean process duration (days±SD)	271.24±168.99	306.49±242.54
Diagnosis:		
Impingement/rotator cuff tendinosis	12	15
Arthroscopic surgery	10	4
Rotator cuff surgical reconstruction	7	8
Instability	1	7
Other	3	7
Outcomes (work related)		
Complete healing	20	22
Impairment, no disability	5	4
Impairment partial disability	1	2
Impairment, total disability	7	13

# New proposal: Normative DEC is uninvolved side's

	М	Н
DEC > DEC uninvolved + 2SD	7	10
DEC within DEC uninvolved± 2SD	18	25
DEC < DEC uninvolved - 2SD	13	6

#### Women acceptable DEC : -0,83 – 2,25

#### Men acceptable DEC : -0,6 – 1,6

Clinical	usef	ulnes	ss or	
V	allal	τy		
	30°/s conc	30°/s ecc	120°/s conc	120°/s ecc
Diagnostic groups: Impingement/rotator cuff tendinosis (n=9) Surgically treated patients (decompression and Potters of meanturation (n=7)	26.60 ± 38.35	$16.43 \pm 21.41$	30.12 ± 33.58	13.51 ± 24.97
and rotator cut reconstruction (n=/) Outcomes (work related): Complete healing (n=15) Innairment (any degree) (n=10)	$47.98 \pm 57.54$ 19.22 ± 34.77 58.86 ± 17.65*	$51.00 \pm 25.52$ 9.88 ± 18.24 38.38 ± 21.31*	$41.10 \pm 29.01$ $19.62 \pm 31.99$ $50.89 \pm 15.82*$	$27.39 \pm 23.32$ $8.96 \pm 20.89$ $32.52 \pm 19.75*$
Cone: concentric contraction Ecc: eccentric contraction * impaired shoulder patient deficits significantly higher • Surgical patients sh (although not signifi	r than "complete he owed a hi cant)	aling" patient one	deficit	
<ul> <li>Pacients with any de and work disability s shoulder ER deficit</li> </ul>	egree of p showed a in all mea	ermanen significan surement	t impairm tly higher s.	ent



	D Maxima	EC Wrist d al (max), Submaxima (male healthy volu	Orsal flexors Il (submax) and cutoff level. Inteers; 28,5±2,1y)
		Wrist extensors	
D	EC max		DEC submax
0.0	01(0.136)		0.44(0.41)*
	Confidence level (%)	Cut-off DEC	
	90	>0.303	
	95	>0.384	
	99	>0.576	
DEC: difference	e eccentric-concentric		

	DEC Wrist palmar flexors Maximal (max), submaximal(submax) and cutoff level. (male healthy volunteers; 28,5±2,1 y)		
		wrist flexors	
D	EC max		DEC submax
-0.0	13(0,120)		0,20(0,32)*
(	Confidencelevel (%)	Cut-off DEC	
	90	>0,245	
	95	>0,317	
	99	>0,486	





	Women	Men
N	22	44
Age (average ± SD)	48,5 ± 7,75	44,9 ± 8,16
Time of evolution (d) (average ± DE)	270 ± 205,002	262 ± 193,04
Diagnsosis (n/%)		
Lateral Epicondylitis	11/50%	14/31,8%
Medial Epicondylitis	2/9%	3/6,8%
Operated Epicondylitis	8/36%	15/34%
Other	1/4%	12/27%
Compensation(%):		
No compensation	62,5%	62,5%
Compensation	37,5%	37,5%
Relapse (%)	6,25%	40%

<ul> <li>DEC</li> <li>Strength deficits.</li> <li>Palmar flexor/Dorsal flexor ratios</li> <li>Permanent impairment.</li> <li>Relapse during the first year</li> </ul> First result: new uninvolved side based normative DEC				
Normative DEC	Women	Men		
Dorsal flexors	<b>0,086 ± 0,296</b> Range: (-0,506) – 0,678	<b>0.146 ± 0,215</b> Range: (-0,284) – 0,576		













# Conclusions

- The DEC parameter may be useful in detecting submaximal shoulder external rotator, wrist extensor and wrist flexor efforts.
- Shoulder external rotator strength deficits are related to permanent impairment/ compensation shoulder injury patients.
- High wrist PF/DF strength ratios may be predictors of epycondilitis relapse.

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# PROPOSED INCLUSION OF WORK PHYSIOLOGY IN FCE

#### HEART RATE RESERVE METHOD

Guided Discussion by Theodore J. Becker PT, PhD Whitney L. Ogle PT, DPT

# Background

- A Functional Capacity Evaluation (FCE) should predict full time work tolerance
  - There are no universally accepted standards, methods, or procedures for predicting work time tolerance
- Commercial FCE protocols do not include scientific formulas for projection to an eight hour day (King, 1998)
  - Use of 85% heart rate max (HR $_{\rm max})$  as cut off during FCE
- While some FCE reports mention heart rate responses during testing, we have found that FCE conclusions were not based on objective physiological responses during testing (Becker, 2015)

## Background & Purpose

- Analysis of heart rate response to activity is not a standard method in the determination of full time work tolerance in FCE protocols at this time
- The purpose of this guided discussion is to spark a conversation about the use of heart rate data in determining full time work tolerance during FCE testing

## **Historical Perspectives**

- Heart rate response can be used to determine if work can be maintained throughout working time (Bonjer, 1962)
- Heart rate has been established as the preferred determinant of full time work tolerance as is a well established indicator of work physiology response (Garg & Hagglund, 1983)
- There are formulas to predict full time work that are completely independent of exercise fitness testing (Davies, 1966)

## Heart Rate & FCE Testing

- A work physiology test is designed to impose strain upon the individual that is correlated with the demands of their work environment and the worker's ability
  - Because typical day-to-day work is not performed at maximum aerobic capacity, there is no need to determine VO<sub>2max</sub> for FCE
- Measuring the physiological response to required work tasks can assess the heaviness of a task and the sustainable capacity for the task completion
  - Heart rate is one of the best indexes for this assessment because of the linear relationship between heart rate and stress of task (Davies 1966, Booyens 1960)
  - Heart rate is also less invasive to test than VO<sub>2</sub>

# Physiological Strain and Work Duration

- Astrand (1960) reported that the upper limit of work tolerance for an eight hour work day is 50% of physical work capacity
  - Since industrial work may involve both high and low intensities throughout the day, the upper limit of work tolerance should be less than 50% of physical work capacity (Jiang, 1984; Kaudawitz 1998)

Workload	Percent Work	Work Duration	Heart Rate (bpm)
Moderate	<33%	8 hrs	90-110
Heavy	34-50%	1-8 hrs	111-130
Very Heavy	51-75%	20 min – 1 hour	131-150
Extremely Heavy	>75%	<20 min	>150

From Jiang (1984)

See also: Astrand (1960), Kodak (1986), AIHA (1971), Kroemer (2001), Williams (1964), Wilson (1995)











- FCE's should predict full time work tolerance
  - Average expenditure for 8 hour work day is 33%
- Heart rate response to activity during FCE's is not standard practice
  - BUT it is informative in making conclusions about work tolerance
- The HRR method takes resting heart rate into account (rather than simple %HR<sub>max</sub>) so FCE conclusions are more reflective of the individual



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THE END



